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Confirmation No. 8014

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COTPE	Application Number	10/743,109						
TRANSMITTAL	Filing Date	December 23, 2003						
PHODRA	First Named Inventor							
AUG 1 1 2006 FORM	Art Unit	Dasseux, et al						
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he used sall correspondence after initial filing)	Examiner Name	Zucker, Paul A.						
Total Number of Pages in This Submission	Attorney Docket Number	PC 20612A (10173-106-999)						
ENC	ENCLOSURES (Check all that apply)							
		Address  Other Enclosure(s) (please Identify below):  Copies of Related Art Information Disclosure Statement (37 C.F.R. 1.97(b)) Return Postcard  and any additional fees as necessary or credit any						
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Firm Name SIGNATURE	OF APPLICANT, ATTO	RINE I, UR AGEN I						
Signature / Chu, C & C	4							
Printed name William R. Boudreaux								
Date August 3 20	06	Reg. No. 35,796						
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with								
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:								
Signature Cyphia A	2 Dennogs	·						
Typed or printed name Cynthia D. Bernges		Date 8-4-04						

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### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No :

10/743,109

Confirmation No. 8104

**Applicant** 

Dasseux, et al.

Filed

December 23, 2003

TC/A.U.

1614

Examiner

Zucker, Paul A.

Docket No.

PC 20612A(10173-106-999)

Customer No.

28880

Title

"Cycloalkyl-Hydroxyl Compounds and Compositions for

Cholesterol Management and Related Uses"

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

#### Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Forms PTO/SB/08 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d); within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; before the mailing of the first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form PTO/SB/08 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Applicants also point out the following pending applications that arise out of the same patent family:

US Serial Number: 10/743,287 filed on December 23, 2003, and

US Serial Number: 10/743470 filed on December 23, 2003.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Forms PTO/SB/08, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge the required fee under 37 C.F.R. §1.17(p), any other necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: August 3 2006

William R. Boudreaux, 35,796

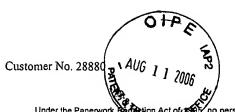
Warner-Lambert Company

2800 Plymouth Road

Ann Arbor, MI 48105

Telephone: (734) 622-1363

Facsimile: (734) 622-1553



Substitute for form 1449/PTC

#### Confirmation No. 8014

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number 10/743,109 Filing Date December 23, 2003 First Named Inventor Dasseux, et al Art Unit 1614 **Examiner Name** Zucker, Paul A.

Attorney Docket Number PC 20612A (10173-106-999)

**U. S. PATENT DOCUMENTS** Document Number Name of Patentee or Pages, Columns, Lines, Where Examiner Cite Publication Date Initials\* Applicant of Cited Document MM-DD-YYYY Relevant Passages or Relevant Figures Appear Number-Kind Code<sup>2</sup> (# known) US-3,930,024A 12/30/1975 Creger, Paul L US-4.287,200A 9/1/1981 Kawamatsu, Yitala. et al <sup>US-</sup> 5,756, 544A Greger, Paul L, et al 5/26/1998 US-2005-0043278 12/23/2003 Dasseux, et al US-2004-0209847A1 10/21/04 Dasseux, et al 10/1964 US-3,152,148A Easterly, et al US-3,773,946A 11/1973 Creger US-3.930.024A .12/1975Creger US-4,287,200A 9/1981 Kawamatsu, et al US-4,584,321A 4/1996 Manghisi, et al US-4,613,593A 9/1986 Yamatsu, et al US-4,634,719A 1/1987 Takaishi, et al US-4,896,344A 8/1987 Bar-Tana, Jacob US-4,711,896A 12/1987 Bar-Tana, et al. US-4,714,762A 12/1987 Hoefle, et al US-5,166,174A 11/1992 Ueno, et al US-5,225,439A Ueno, et al 7/1993 US- 5,284,858A Ueno, et al 2/1994 US-5,380,709A 1/1995 Ueno, et al

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (If known)	MM-DD-YYYY		Or Relevant Figures Appear	1.0		
		FR 1 545 224A	11/8/1968	Geigy AG J R				
		GB 1196594 English Equivalent to FR 1545 224	7/1/1970	Howell				
		GB 1196595 English Equivalent to FR 1545 224	7/1/1970	Howell				
		GB 1196596 English Equivalent to FR 1545 224	7/1/1970	Howell .				
		GB 1196597 English Equivalent to FR 1545 224	7/1/1970	Howell				
		GB 1196598 English Equivalent to FR 1545 224	7/1/1970	Howell				

Examiner	Date
Signature	Considered
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	Substitute for form 1449/PTO		. Complete if Known		
			Application Number	10/743,109	
	INFORMATION DISCLO	CHDE	Filing Date	December 23, 2003	
			First Named Inventor	Dasseux, et al	
	STATEMENT BY APPL		Art Unit	1614	
	(Use as many sheets as necessary	<i>(</i> )	Examiner Name	Zucker, Paul A.	
St	neet 2 of 18		Attorney Docket Number	PC 20612A (10173-106-999)	

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (* known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,428,062A	6/1995	Ueno, et al	-
		<sup>US-</sup> 5,648,387A	7/1997	Bisgaier, et al	
		US-5,750,569A	5/1998	Bisgaier, et al	•
		<sup>US-</sup> 5,756,344A	5/1998	Onda, et al	
		<sup>US-</sup> 5,756,544A	5/1998	Bisgaier, et al	
		US- 5,783,600A	7/1998	Bisgaier, et al	
		US- 5,834,596A	11/1998	Ageland, et al	
		US- 5,886,034A	4/1999	Ueno, et al	
		<sup>US-</sup> 6,004,925A	12/1999	Dasseux, et al	
		US- 6,037,323 A	4/2000	Dasseux	
		US- 6,459,003	10/2002	Dasseux, et al	
		<sup>US-</sup> 6,699,910	4/2/2004	Dasseux, et al	
		<sup>US-</sup> 6,646,170	11/11/2003	Dasseux, et al	
		US-6,713,507	4/30/2002	Dasseux, et al	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
		US- 6,673,780	1/6/2004	Dasseux, et al	
		US- 6,703,422	4/9/2004	Dasseux, et al	
	l'	us- 3,441.605	4/1969	Blake et al	
···	<u> </u>	US-3,773,946	11/1973	Creger	
		US- 3,930,024	12/1975	Creger	

		FORE	IGN PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
****		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ5
		WO 98/30530A	7/16/1998	Bar-Tana, Jacob		
		EP 0 284 108	9/28/87	McFetridge		
		WO 96/30328	7/16/98	Baluais		
		WO 99/00116	1/7/99	Bar-Tana, Jacob		

Examiner Signature		Date Considered	

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Subs	Substitute for form 1449/PTO			Complete if Known			
				Application Number	110/743,109		
IN	FORMATION	DIG	CLOSUDE	Filing Date	December 23, 2003		
				First Named Inventor	Dasseux, et al		
SI	TATEMENT B			Art Unit	1614		
(Use as many sheets as necessary)		Examiner Name	Zucker, Paul A.				
Sheet	3	of	18	Attorney Docket Number	PC 20612A (10173-106-999)		

				DOCUMENTS	
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ļ	Number-Kind Code <sup>2</sup> (# known)			Figures Appear .
		US- 4,287,200	9/1981	Kawamatsu et al	
		US- 4,584,321	4/1986	Manghisi et al	
		US- 4,613,593	9/1986	Yamatsu et al	
		US- 4,634,719	1/1987	Takaishi et al	
		US- 4,639,344	8/1987	Bar-Tana et al	
		US- 4,711,896	12/1987	Bar-Tana et al	
		US- 5,502,198	3/1996	Picard et al	
		US- 5,504,073	4/1996	Homan	
		US- 5,578,639	11/1996	Homan	
		US- 5,633,287	5/1997	Lee et al	
,		US- 5,648,387	7/1997	Bisgaier et al	
		US- 5,570,569	5/1998	Bisgaier, et al	
		<sup>US-</sup> 5,968,963	10/1999	Homan	·
		US- 5,981,595	11/1999	Picard et al	
		us- 6,017,905	1/2000	Roark et al	
•		US-6,093,719	7/2000	Bocan	
		US- 6,093,744	7/2000	Lee, et al	
		US- 6,124,309	9/2000	Bocan	
		US- 6,143,755	11/2000	Bocan	

	Cite	Foreign Patent Document	IGN PATENT DOCU Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Г
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Substitu	Substitute for form 1449/PTO		Complete If Known		
01201	10 101101111 144011 14			Application Number	10/743,109
INF	ORMATIO	N DIS	CLOSURE	Filing Date	December 23, 2003
STA	STATEMENT BY APPLICANT			First Named Inventor	Dasseux, et al
	(llan an mam)	abasta an ma	annonnal.	Art Unit	1614
	(Use as many sheets as necessary)			Examiner Name	Zucker, Paul A.
Sheet	4	of	18	Attorney Docket Number	PC 20612A (10173-106-999)

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BICKING, et al., "11,12-Secoprostaglandins. 1. Acylhydroxyalkanoic acids and related compounds", J. Med. Chem., 1977, pp. 35-43, vol. 20	
		NAGANO H, et al., "Stereoselectivity in the formation and radical reduction of cyclic bromoacetals, key intermediates for the sythesis of delta-hydroxy-and epsilon-hydroxy-alpha-methylcarboxylic acid esters", Tetrahedron Letters, 2003, pp. 6867-6870, vol. 44, no. 36	
		BOBROVA, et al., abstract, J. Org. Chem, 1983, pp. 259-261, vol. 19	
		NARASAKA, et al., abstract, Bull. Chem. Soc, 1987, pp. 1457-1464, vol. 60, no. 4	
		RIEKE, et al., abstract, J. Org. Chem, 1996, pp. 2726-2730, vol. 61. no. 8	
•		PECHMANN, abstract, Chem. Ber., 1904, page 3819, vol. 37	
		LARDELLI, et al., abstract, Recl. Trav. Chim., 1967, pp. 481-503, vol. 86	
		CRISAN, abstract, Ann. Chim., 1956, pp. 436-459, vol. 13, no. 1	
		BLATT, et al.; The reducing action of the Grignard reagent and the synthesis of tertiary aliphatic carbinols", J. Org. Chem., 1932, pp. 1495-1499, vol. 54	
	•	BROWN, et al., "Hydroboration. 67. Cyclic hydroboration of acyclic alpha, omega-dienes with 9-Borabicyclo '3.3.1 !nonane/borane-dimethyl sulfide", J. Org. Chem., pp. 1072-1078, vol. 49, no. 6	

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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitut	te for form 1449/PTO	_			Complete if Known
				Application Number	10/743,109
INFO	NFORMATION DISCLOSUF STATEMENT BY APPLICAN  (Use as many sheets as necessary)	CLOSURE	Filing Date	December 23, 2003	
STA	TEMENT I	BY A	PPLICANT	First Named Inventor	Dasseux, et al
	(llee se many ch			Art Unit	1614
	(Ose as many sn	ieets as m	scessary/	Examiner Name	Zucker, Paul A.
Sheet	5	of	18	Attorney Docket Number	PC 20612A (10173-106-999)

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		WEBER, et al., abstract, J. Med. Chem., 1992, pp. 3755-3773, vol. 35, no. 21	
		YAMAMOTO, "Asymmetric synthesis of 5-and 6-membered lactones from cyclic substrates bearing a c2-chiral auziliary", J. Org. Chem., 1991, pp. 1112-1119, vol. 35, no. 21	
		OOI T, et al., abstract, Angewandte Chemie., 2001, vol. 40, no. 19	
		ENGLISH, J. Am. Chem. Soc., 1941, pg. 942, vol. 63	
		GLEITER, et al., Synthesis of 5,510,10-tetramethyl-1-oxacyclotridecane-6,7,8,9-tetrone-on the mechanism of the Rubottom reaction, 1995, (9), pp. 1655-1661	
		GLEITER, et al., Synthesis and properties of 4,4,9,9-tetramethyl-1-oxa-cycloundecane-5,6,7,8-tetrone and 9-tetramethyl-1-oxa-cyclotridecane-6,7,8,9-tetrone, 1996, 2(3), pp. 271-277	
		MOMENTEAU, et al., abstract, J. Chem Soc. Perkin Trans. 1985, pgs 221-232	
		COREY et al., 1967, "A useful method for conversion of alcohols into iodides", J. Org. Chem, 32: 4160-4161	
		TARAVEL et al, 1988, "Interglycosidic sup.13 C- sup 1 H Coupling Constants" Tetrahedeon Lett, 29:199-200	,
		VAMECQ AND DRAYE, 1989, "Pathophysiology of peroxisomal beta-oxidation", Essays Biochem, 24:115-225	

Examiner	Date	
Signature	Considered	

<sup>•</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
nitials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Attorney Docket Number

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Substitut	te for form 1449/PTO			Complete if Known			
,			•	Application Number	10/743,109		
INFO	DRMATION	I DIS	CLOSURE	Filing Date	December 23, 2003		
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Sheet	13	of	18	Attorney Docket Number	PC 20612A (10173-106-999)		

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue	T <sup>2</sup>
		number(s), publisher, city and/or country where published.	
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		NYSTROM et al., 1947, "Reduction of Organic Compounds by Lithium Aluminum Hydride", J. Am. Chem Soc. 69:1197-1199.	

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Sheet	15	of	18	Attorney Docket Number	PC 20612A (10173-106-999)	

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